

**Republic of Peru**  
**National Targeting and Social Information**  
**Entity (OFIS)**

**Improving MIDIS' Information Services**  
**within the National Targeting System (SINAFO)**  
**at the National Level Project – *Mejoramiento de***  
***los Servicios de Información del MIDIS en el***  
***marco del Sistema Nacional de Focalización***  
***(SINAFO) a nivel nacional (P179923)***

**Updated Final Version**

**ENVIRONMENTAL AND SOCIAL COMMITMENT**  
**PLAN (ESCP)**

**February 28, 2025**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Peru (the Borrower) will implement the Improving MIDIS' Information Services within the National Targeting System (SINAFO) at the National Level Project (the Project), with the involvement of the National Targeting and Social Information Entity (OFIS), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing (P179923) for the Project, as set out in the Loan Agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Loan Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the measures and actions, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESSs, and in form and substance, and in a manner acceptable to the Bank. Once adopted, such E&S instruments may be revised from time to time with the prior written agreement of the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time, if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower, through OFIS, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the OFIS Executive Presidency. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project in form and substance acceptable to the Bank. The reports shall include, among other things:</p> <ul style="list-style-type: none"> <li>• progress in ESCP implementation;</li> <li>• status of preparation and implementation of the ESHS documents referred to in the ESCP;</li> <li>• summary of activities to be carried out during the following period;</li> <li>• summary of training and capacity building activities carried out during the period;</li> <li>• summary of outreach, participation and consultation activities carried out during the period; and</li> <li>• record of grievances received and addressed during the period through the grievance mechanism for Project workers (see action 2.2) and the GRM (see action 10.2).</li> </ul> <p>The Project Operational Manual (POM) shall include an annex specifying the minimum content and format of such reports.</p>	<p>The reports shall be submitted within forty-five (45) days after each calendar semester, covering the calendar semester, during the implementation of the Project and starting from the Effective Date.</p>	OFIS
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <ol style="list-style-type: none"> <li>1. Flash Report: Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury, including traffic accidents. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</li> <li>2. Detailed Report: Subsequently, at the Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</li> </ol>	<ol style="list-style-type: none"> <li>1. Notify the Bank within 48 hours of becoming aware of the incident or accident (Flash Report).</li> <li>2. Provide a Detailed Report of the incident/accident to the Bank within a timeframe acceptable to the Bank.</li> <li>3. Throughout Project implementation.</li> </ol>	OFIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	3. The POM shall include an annex specifying the minimum content and format of the corresponding detailed reports, as well as details of the process by which both reports will be communicated to the Bank.		
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain the PIU with qualified staff and resources to ensure compliance with the ESCP and adequate management of the ESHS aspects of the Project. Such staff shall include (to be evaluated and adjusted, as appropriate, during Project implementation) one (1) full-time socio-environmental specialist.</p> <p>The POM will contain an annex specifying the profile, responsibilities, and hiring processes of the socio-environmental specialist, information that will be used to prepare the Terms of Reference for hiring such personnel.</p>	The PIU shall be established within OFIS as a condition of the effectiveness of the Loan Agreement. The socio-environmental specialist shall be hired no later than ninety (90) days after the Effective Date (and in any event before the execution of any activity under Component 1 or Component 2 of the Project) and shall be maintained throughout Project implementation.	OFIS
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Prepare, adopt, disclose and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment and emergency preparedness and response), code of conduct (including in relation to SEA and SH), forced labor, child labor, grievance arrangements for project workers, and applicable requirements for contractors and subcontractors.</p>	Finalize, adopt and disclose the final version of the LMP no later than 90 days after the Effective Date, and thereafter implement the LMP throughout Project implementation.	OFIS
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <ol style="list-style-type: none"> <li>1. Establish and operate a grievance mechanism for Project workers, as described in accordance with the LMP and consistent with ESS2.</li> <li>2. Ensure that each contractor establishes and maintains a grievance mechanism for its workers and that the contractor's bidding documents include a requirement to ensure that similar provisions are included in their own agreements with subcontractors.</li> </ol>	<ol style="list-style-type: none"> <li>1. This mechanism shall be described in the LMP and shall be established and become operational before the final version of the LMP is disclosed.</li> <li>2. Throughout Project implementation.</li> <li>3. As stipulated in action A.</li> </ol>	OFIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	3. Compile information from the grievance mechanism for Project workers (Action 2.2.1) and the contractors' grievance mechanisms (Action 2.2.2) and include it in the six-monthly reports referred to in action A.		
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>MANAGEMENT OF ELECTRICAL AND ELECTRONIC EQUIPMENT WASTE</b>  Adopt and implement an Electronic Waste Management Plan (e-waste MP) to manage equipment and waste resulting from the acquisition and use of computer equipment, tablets, servers and printers for the Project, in accordance with ESS3. The e-waste MP shall be part of the POM and its measures will cover the management of the equipment to be procured for central use and local use by ULEs. The e-waste MP will incorporate pollution prevention and management measures within the framework of waste and electrical and electronic equipment.	The e-waste MP shall be finalized and included as part of the POM as a condition of the effectiveness of the Loan Agreement, and thereafter implemented throughout Project implementation.	OFIS
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
	This standard is currently not relevant.		
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
	This standard is currently not relevant.		
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	This standard is currently not relevant.		

ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<b>SOCIAL ASSESSMENT</b>  Carry out and prepare a social assessment (SA), resulting in an SA Report, which identifies the main characteristics of indigenous peoples in the Project area in accordance with ESS7. The SA shall also identify potential social impacts and risks of the Project that could affect indigenous peoples and propose effective and culturally appropriate mitigation measures. The draft SA Report shall be consulted with representatives of indigenous peoples and its recommendations will be implemented, as relevant.	The SA Report shall be finalized and adopted no later than 90 days after the Effective Date, and thereafter its recommendations will be implemented throughout Project implementation.	OFIS
7.2	<b>COMMUNICATION STRATEGY</b>  The SEP will include guidelines for a Communication Strategy (CS) to be prepared and implemented by OFIS under Part 1.2(a) of the Project. The CS shall describe the objectives and activities to be carried out to promote the adequate participation of indigenous peoples and other vulnerable populations in the activities of the Project. Additionally, the CS shall define the main culturally appropriate means and mechanisms for the involvement of indigenous peoples.	The guidelines for the CS shall be finalized, disclosed, and implemented as part of the SEP by the respective timelines for action 10.1.	OFIS
ESS 8: CULTURAL HERITAGE			
	This standard is currently not relevant.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN</b>  Prepare, adopt, disclose and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, among other things, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation, and shall also include the Project grievance mechanism described in Action 10.2.	Finalize, adopt and disclose the final version of the SEP no later than 90 days after the Effective Date, and thereafter implement the SEP throughout Project implementation.	OFIS

10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Improve the OFIS grievance mechanism for the PGH under Part 1.2(c) of the Project (GRM) in such way that it is accessible to receive and facilitate the resolution of concerns, complaints, and claims in relation to Project activities in a prompt, effective, transparent, culturally appropriate, and easily accessible manner to all parties affected by the Project, at no cost and without retaliation, and that allows for the anonymous submission of concerns, complaints and claims, in line with the provisions of ESS 10. The GRM shall be disseminated as part of the SEP to Project stakeholders.</p> <p>The GRM shall be designed and equipped to receive, record, and facilitate resolution of SEA/SH complaints, including referring survivors of gender-based violence (GBV) to GBV service providers, all in a safe, confidential and survivor-centered manner.</p>	<p>The GRM shall be improved and implemented as part of the SEP by the respective timelines for action 10.1.</p>	OFIS
<b>CAPACITY BUILDING SUPPORT</b>			
FC1	Training for specialists of the PIU and Project workers in accordance with the provisions of the SA Report, the SEP and the LMP.	Within 30 days after hiring the socioenvironmental specialist referred to in action 1.1.	OFIS
FC2	Training of Project workers on occupational health and safety issues, emergency prevention, preparedness and response mechanisms, codes of conduct as established in the LMP, and the GRM described in the SEP.	Within 60 days after hiring the socioenvironmental specialist referred to in 1.1.	OFIS
FC3	Training for specialists from the PIU, OFIS, and the ULEs linked to the activities of the Project, on complying with the ESCP, ESSs, E&S instruments, and other documents related to the Project.	Within 90 days after hiring the socioenvironmental specialist referred to in 1.1.	OFIS
FC4	Training for PIU personnel on the proper management (including handling, storage, transportation, and final disposal) of electronic waste and equipment in accordance with the e-waste MP.	As and when required under the e-waste MP.	OFIS